

10/511020  
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Docket No. 21238 US (C038435/0180816)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS  
DESIGNATED/ELECTED OFFICE (DO/EO/US) UNDER THE PATENT COOPERATION  
TREATY CONCERNING A FILING UNDER 35 U.S.C. § 371

*In re Application of:* )  
Katja BERG-SCHULTZ and Ulrich HUBER )  
Based on Int'l Application No.: PCT/EP03/03095 ) Examiner: Not yet assigned  
International Filing Date: 25 March 2003 ) Art Unit: Not yet assigned  
Filed: Herewith )  
For: ORGANOSILICONE DERIVATIVES OF AMINO )  
HYDROBENZOPHENONES AND THEIR USE AS )  
UV-A FILTERS IN COSMETIC PREPARATIONS )

New York, New York  
October 8, 2004

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No.: ER 930263929 US  
Date of Deposit: October 8, 2004

I hereby certify that the following:

- Certificate of Express Mailing (1 p)
- Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. § 371 (2 pp) in duplicate
- Copy of International Application as filed (22 pp)
- Information Disclosure Statement, PTO-1449 (6 pp, incl. duplicate p 3) and copies of refs.
- Preliminary Amendment (9 pp, including duplicate p 1)
- Copy of published international application WO 03/086340 A1 (23 pp)
- Copy of International Search Report (4 pp)
- Copy of International Preliminary Examination Report (9 pp)
- Check for \$950.00 (National Filing Fee)
- Return postcard

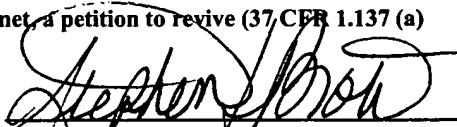
are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the Date of Deposit indicated above in an envelope addressed to Mail Stop PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Marvin Chisholm  
Signature of Person Making Deposit

BRYAN CAVE LLP  
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New York, New York 10104  
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Express Mail No. ER 930263929 US

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|---|--|---|--|--|
| FORM PTO-1390<br>(REV. 10-2003)   |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |  | ATTORNEY'S DOCKET NUMBER<br>21238 US (C038435/0180816)                                 |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A FILING UNDER 35 U.S.C. 371</b>   |  |   |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5<br>Not yet assigned<br><b>10/511020</b> |
| INTERNATIONAL APPLICATION NO.<br>PCT/EP03/03095   | INTERNATIONAL FILING DATE<br>25 March 2003 |   | PRIORITY DATE CLAIMED<br>12 April 2002 |  |
| <b>TITLE OF INVENTION</b><br>ORGANOSILICONE DERIVATIVES OF AMINO HYDROXYBENZOPHENONES AND THEIR USE AS UV-A FILTERS IN COSMETIC PREPARATIONS  |  |   |  |  |
| <b>APPLICANT(S) FOR DO/EO/US</b><br>Katja BERG-SCHULTZ and Ulrich HUBER   |  |   |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |   |  |  |
| <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> |  |   |  |  |
| <b>Items 11 to 20 below concern document(s) or information included:</b> <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>18. <input checked="" type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information:<br/>Copy of the International Search Report (4 pp.); Copy of the International Preliminary Examination Report (9 pp.); Certificate of Express Mailing (1 p.); and Return Postcard.</li> </ol>  |  |   |  |  |

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|--|--------------|---|--|
| U.S. APPLICATION NO. (if known) 37 CFR 1.492(e)<br>Not yet assigned  |              | INTERNATIONAL APPLICATION NO.<br>PCT/EP03/03095 | ATTORNEY'S DOCKET NUMBER<br>21238 US (C038435/0180816) |
| 21. <input checked="" type="checkbox"/> The following fees are submitted:  |              | CALCULATIONS PTO USE ONLY                       |  |
| <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b>  |              |   |  |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. ....  |              | \$1080.00                                       |  |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....  |              | \$920.00  |  |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....   |              | \$770.00  |  |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....  |              | \$730.00  |  |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) .....  |              | \$100.00  |  |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>  |              | \$ 950.00                                       |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).   |              | \$  |  |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA                                    | RATE   |
| Total claims   | 7 - 20 =     | 0   | x \$18.00 \$ 0.00                                      |
| Independent claims   | 1 - 3 =      | 0   | x \$86.00 \$ 0.00                                      |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |              | + \$290.00 \$ 0.00                              |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              | \$ 950.00                                       |  |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |              | \$  |  |
| <b>SUBTOTAL =</b>  |              | \$ 950.00                                       |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |              | \$ 0.00   |  |
| <b>TOTAL NATIONAL FEE =</b>  |              | \$ 950.00                                       |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +   |              | \$  |  |
| <b>TOTAL FEES ENCLOSED =</b>   |              | \$ 950.00                                       |  |
|  |              | Amount to be refunded:                          | \$   |
|  |              | charged:  | \$   |
| <p>a. <input checked="" type="checkbox"/> A check in the amount of \$ 950.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 02-4467 in the amount of \$ 950.00 to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4467. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> |              |   |  |
| <p><b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</b></p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>Stephen M. Haracz, Esq.<br/>BRYAN CAVE LLP<br/>1290 Avenue of the Americas<br/>New York, NY 10104-3300<br/>Tel.: (212) 541-2000<br/>Fax: (212) 541-4630</p> <p><br/>SIGNATURE<br/>Stephen J. Brown</p> <p>NAME<br/>43,519</p> <p>REGISTRATION NUMBER</p>   |              |   |  |